



PATENT
Attorney Docket No. MLB-055CP1

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

APPLICANT(S): F. Joseph Pompei CONFIRMATION NO.: 3989
SERIAL NO.: 09/300,022 GROUP NO.: 2644
FILING DATE: April 27, 1999 EXAMINER: Pendleton, Brian T.
TITLE: Parametric Audio System

Mail Stop Amendment
Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

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JUL 22 2004

Technology Center 2600

THIRD SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT

Sir:

In accordance with the provisions of 37 C.F.R. 1.97 and 1.98, Applicants hereby make of record the patents and publications listed on the accompanying Form PTO-1449, and other information contained herein, for consideration by the Examiner in connection with the examination of the above-identified patent application. Copies of the patents and publications labeled A3-A10 and B3-B4 are enclosed.

REMARKS

In accordance with the provisions of 37 C.F.R. 1.97, this statement is being filed (CHECK ONE):

- ☐ (1) within three (3) months of the **filing date** of a national application other than a continued prosecution application under 37 C.F.R. 1.53(d), or within three (3) months of the **date of entry of the national stage** as set forth in 37 C.F.R. 1.491 in an international application, or before the mailing of the **first Office action** on the merits, or before the mailing of a **first Office action** after the filing of a request for continued examination under 37 C.F.R. 1.114; or
- ☒ (2) after the period defined in (1) but before the mailing date of a **final action** or a **notice of allowance** under 37 C.F.R. 1.311, and
- ☐ the requisite Statement is below, **OR**
- ☒ the requisite fee under 37 C.F.R. 1.17(p), namely **\$180.00**, is included herein, or

- ☐ (3) after the mailing date of a **final action** or **notice of allowance** but before the payment of the **issue fee**, **AND**
- ☐ the requisite Statement is below, **AND**
- ☐ the requisite petition fee under 37 C.F.R. 1.17(p), namely **\$180.00** is included herein.

It is respectfully requested that each of the patents and publications listed on the attached Form PTO-1449, and other information contained herein, be made of record in this application.

STATEMENT

As required under 37 C.F.R. 1.97(e), Applicant(s), through the undersigned, hereby state either that [check the appropriate space only if either (2) or (3) is checked on the previous page and the Statement is required]:

- ☐ 1. Each item of information contained in the Information Disclosure Statement was first cited in any communication from a foreign patent office in a counterpart foreign application **not more than three months** prior to the filing of the Information Disclosure Statement; or
- ☐ 2. No item of information contained in the Information Disclosure Statement was cited in a communication from a foreign patent office in a counterpart foreign application, and, to the knowledge of the person signing this Statement after making reasonable inquiry, no item of information contained in the Information Disclosure Statement was known to **any individual** designated in 37 C.F.R. 1.56(c) **more than three months** prior to the filing of the Information Disclosure Statement.

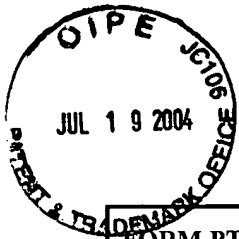
Respectfully submitted,



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FORM PTO - 1449				ATTORNEY DOCKET NO.: MLB-055CP1					
THIRD SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT				APPLICANT(S): F. Joseph Pompei					
				SERIAL NO.: 09/300,022					
				FILING DATE: April 27, 1999 GROUP: 2644					
U.S. PATENT DOCUMENTS									
EXAM. INIT.		DOCUMENT NUMBER	DATE	NAME	CLASS	SUB CLASS	FILING DATE IF APPROPRIATE		
	A3	3,816,671	06/11/74	Frain et al.	179	111 E	04/06/72		
	A4	3,908,098	09/23/75	Kawakami et al.	179	111 R	07/30/73		
	A5	4,588,917	05/13/86	Ratcliff	310	316	12/17/84		
	A6	5,161,128	11/03/92	Kenney	367	181	11/30/90		
	A7	5,598,480	01/28/97	Kim	381	99	04/27/95		
	A8	5,745,438	04/28/98	Hill et al.	367	181	01/31/97		
	A9	6,016,351	01/18/00	Raida et al.	381	77	07/16/97		
	A10	6,044,160	03/28/00	Norris	381	191	01/13/98		
FOREIGN PATENT DOCUMENTS									
EXAM. INIT.		DOCUMENT NUMBER	DATE	COUNTRY CODE	CLASS	SUB CLASS	FILING DATE	ABSTRACT ONLY	ENGLISH LANG (Y/N)
	B3	1 234 767	06/09/71	GB			09/18/67	No	Yes
	B4	59171300	09/27/84	JP			03/17/83	Yes	Yes
OTHER ART, JOURNAL ARTICLES, ETC.								JUL 22 2004	
EXAM. INIT.	OTHER DOCUMENTS: (Including Author, Title, Date, Relevant Pages, Place of Publication)								
EXAMINER					DATE CONSIDERED				

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